



204162140258946

**SECURITIES AND EXCHANGE COMMISSION**

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Company Information

SEC Registration No. CS201000985
Company Name SFA SEMICON PHILIPPINES CORPORATION
Industry Classification MFG., N.E.C.
Company Type Stock Corporation

Document Information

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COVER SHEET

for Applications at

TARLAC CITY EXTENSION OFFICE

Nature of Application

Public Ownership Report as March 31, 2021

S.E.C. Registration Number

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Company Name

S	F	A	S	E	M	I	C	O	N	P	H	I	L	I	P	P	I	N	E	S
C	O	R	P	O	R	A	T	I	O	N										

Business Address: No. Street City / Town / Province

P	A	N	D	A	Y	P	I	R	A	A	V	E	N	U	E	C	O	R	N	E	R	C	R	E	E
K	S	I	D	E	R	O	A	D	C	L	A	R	K	F	R	E	E	P	O	R	T	Z	O	N	E
P	A	M	P	A	N	G	A	P	H	I	L	I	P	P	I	N	E	S							

COMPANY INFORMATION

Company's Email Address

ssp.ir@sfasemicon.com

Company's Telephone Number/s

045-499-1742; 045-499-1749

Company's Facsimile Number/s

045-499-1996

CONTACT PERSON INFORMATION

Name of Contact Person

Carol S. Sicat

Email Address

ssp.ir@sfasemicon.com

Telephone Number

045-499-1756

Facsimile Number/s

045-499-1996

Contact Person's Address

Panday Pira Avenue Corner Creekside Road., Clark Freeport Zone, Pampanga,

To be accomplished by SEC - TCEO Personnel

Date

Signature

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Director

Releasing Officer



SFA Semicon Philippines Corporation

Panday Pira Ave. Creekside Rd. Clark Freeport Zone, Pampanga, Philippines

Tel. No. (045) 499-1962 / Fax No. (045) 499-1996

April 12, 2021

SECURITIES AND EXCHANGE COMMISSION

Tarlac Extension Office

Tarlac City

Attention: **Atty. Richard R. Laus**
Director- Tarlac Extension Office

Re : Public Ownership Report as of March 31, 2021

Gentlemen:

In compliance with the requirements of the Amended Rule on Minimum Public Ownership of the Philippine Stock Exchange, we submit herewith the computation of public ownership level of common shares of **SFA Semicon Philippines Corporation** as of March 31, 2021, as filed with the Exchange.

Thank you very much.

Sincerely,

A handwritten signature in black ink, appearing to be "Caroline S. Sicat", written over the printed name.

CAROLINE S. SICAT

Compliance Officer/Assistant Corporate Secretary

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.

SFA SEMICON

SFA Semicon Philippines Corporation

SSP

PSE Disclosure Form POR-1 - Public Ownership Report

Reference: Amended Rule on Minimum Public Ownership

Report Type

- ☐ Monthly
- ☒ Quarterly
- ☐ Others

Report Date Mar 31, 2021

Computation of Public Ownership

Number of Issued and Outstanding Common Shares	2,165,024,111
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	2,165,024,111

Less :

A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Joon Sang Kang	1	-	1	0
Chunkee Son	1	-	1	0
Daekyu Kang	1	-	1	0
Minhun Seo	1	-	1	0
Jaemin Lee	1	-	1	0
Carlos R. Alindada	1	-	1	0
Mary Delia G. Tomacruz	100,001	-	100,001	0
	100,007	0	100,007	0

B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Joon Sang Kang ¹ (same as above)	-	-	-	0
Caroline S. Sicat	130,000	-	130,000	0
Marietta A. Tibayan	-	-	-	0
Charmaine P. Lansangan-Venturina	-	-	-	0
	130,000	0	130,000	0

C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
SFA Semicon Co., Ltd.	1,840,264,104	-	1,840,264,104	84.99
	1,840,264,104	0	1,840,264,104	84.99

D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

Number of Listed Common Shares	2,165,024,111
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Total Number of Non-Public Shares	1,840,494,111
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Total Number of Shares Owned by the Public	324,530,000
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Public Ownership Percentage	14.99
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Other Relevant Information

None.

Filed on behalf by:

Name	Caroline Sicat
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Designation	Deputy Team Head/Corporate Information Officer
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SFA SEMICON PHILIPPINES CORPORATION
Computation of Public Ownership (Common Shares) as of March 31, 2021

Number of Issued Shares	2,165,024,111
Less: Treasury Shares	-
Number of Outstanding Shares	2,165,024,111
Less:	

Name	No. of Shares		Total Direct & Indirect Shares	% to Total Outstanding Shares
	Direct	Indirect		
A. Directors				
Joon Sang Kang	1	-	1	0.0000%
Jaejin Lee	1	-	1	0.0000%
Chunkee Son	1	-	1	0.0000%
Daekyu Kang	1	-	1	0.0000%
Minhun Seo	1	-	1	0.0000%
Carlos R. Alindada	1	-	1	0.0000%
Mary Delia G. Tomacruz	100,001	-	100,001	0.0046%
Subtotal	100,007	-	100,007	0.0046%
B. Officers				
Joon Sang Kang ¹ (same as above)	-	-	-	0.0000%
Caroline S. Sicat	130,000	-	130,000	0.0060%
Marietta A. Tibayan	-	-	-	0.0000%
Subtotal	130,000	-	130,000	0.0060%
C. Principal/Substantial Stockholders				
SFA Semicon Co., Ltd.	1,840,264,104	-	1,840,264,104	84.9997%
Subtotal	1,840,264,104	-	1,840,264,104	84.9997%
D. Affiliates	-	-	-	0.0000%
E. Government	-	-	-	0.0000%
F. Banks	-	-	-	0.0000%
G. Employees	-	-	-	0.0000%
H. Lock-up Shares ¹	-	-	-	0.0000%
I. Others	-	-	-	0.0000%

Total Number of Non-Public Shares	1,840,494,111
TOTAL NUMBER OF SHARES OWNED BY PUBLIC	324,530,000
PUBLIC OWNERSHIP PERCENTAGE	14.99%

COMPANY NAME : SFA SEMICON PHILIPPINES CORPORATION

LIST OF TOP 100 STOCKHOLDERS

As Of March 31, 2021

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL
SFA SEMICON CO., LTD.	1,840,264,104	0	1,840,264,104	85.000
PCD NOMINEE CORPORATION (FILIPINO)	287,618,720	0	287,618,720	13.285
PCD NOMINEE CORPORATION (NON - FILIPINO)	36,276,060	0	36,276,060	1.676
HAIDEE A. GENEROSO &/OR SANDY EDWARD A. GENEROSO	735,000	0	735,000	0.034
ELVIRA M. CRUZ AND/OR BERNARDO A. CRUZ	77,000	0	77,000	0.004
F. YAP SECURITIES, INC.	32,000	0	32,000	0.001
JULIET ALEJANDRO ANCHETA	8,000	0	8,000	0.000
VICTOR G. CO &/OR CO KIAN CHAY	5,000	0	5,000	0.000
STEPHEN T. TEO &/OR TERESITA R. TEO	5,000	0	5,000	0.000
JESUS SAN LUIS VALENCIA	1,000	0	1,000	0.000
BARTHOLOMEW DYBUNCIO YOUNG	1,000	0	1,000	0.000
PHILIP &/OR ELNORA TURNER	879	0	879	0.000
OWEN NATHANIEL S AU ITF: LI MARCUS AU	230	0	230	0.000
DONDI RON R. LIMGENCO	111	0	111	0.000
CARLOS ROLDA ALINDADA	1	0	1	0.000
DAEKYU KANG	1	0	1	0.000
JOONSANG KANG	1	0	1	0.000
JAEJIN LEE	1	0	1	0.000
MINHUN SEO	1	0	1	0.000
CHUNKEE SON	1	0	1	0.000
MARY DELIA G. TOMACRUZ	1	0	1	0.000
GRAND TOTAL (21)	2,165,024,111	0	2,165,024,111	

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